

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
**(703) 746-4000**

or **Fax**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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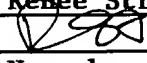
29175 7590 08/24/2004

BELL, BOYD & LLOYD, LLC  
 P. O. BOX 1135  
 CHICAGO, IL 60690-1135

11/05/2004 MAHME2 00000036 08826744

01 FC:1501 1370.00 OP  
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<b>Renee Street</b>	(Depositor's name)
	(Signature)
<b>November 2, 2004</b>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/826,744	04/07/1997	YASUO IWASAKI	SONY-P7325	2669

TITLE OF INVENTION: ~~AUDIO AND/OR DATA RECORDING AND REPRODUCING APPARATUS AND METHOD OF SAME~~**AUDIO AND/OR VIDEO DATA RECORDING AND REPRODUCING APPARATUS AND METHOD OF SAME**

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	11/24/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
ONUAKU, CHRISTOPHER O	2616	386-098000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. **Bell, Boyd & Lloyd LLC**
2. **Chicago, Illinois**
3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

**SONY CORPORATION**

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**Tokyo, Japan**Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 1

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 02-1818 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

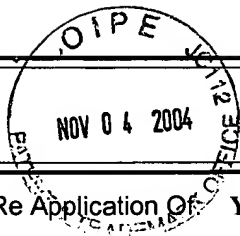
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Authorized Signature \_\_\_\_\_

Date **November 2, 2004**Typed or printed name **Thomas C. Basso**Registration No. **46,541**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
112857-042

In Re Application Of: **Yasou Iwasaki**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
08/826,744	April 7, 1997	C. Onuaka	29175	2616	2669

Title: **AUDIO AND/OR VIDEO DATA RECORDING AND REPRODUCING APPARATUS AND METHOD OF SAME**

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Amendment After Notice of Allowance (3 pgs.); Transmittal of Payment of Issue Fee (duplicate); Form PTOL-85 (duplicate); check in the amount of \$1,373.00; and return receipt postcard.**

in the above identified application.

- ☒ No additional fee is required.
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- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of \_\_\_\_\_
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- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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*Signature*

Dated: November 2, 2004

**Thomas C. Basso**  
**Reg. No. 46,541**  
**BELL, BOYD & LLOYD LLC**  
**P.O. Box 1135**  
**Chicago, IL 60690-1135**  
**Phone: 312-807-4310**

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11-02-2004

(Date)

*Signature of Person Mailing Correspondence*

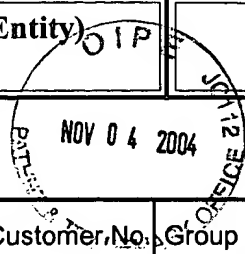
**Renee Street**

*Typed or Printed Name of Person Mailing Correspondence*

CC:

<b>TRANSMITTAL OF PAYMENT OF ISSUE FEE (Large Entity)</b> <b>(37 C.F.R. 1.311)</b>	<b>Docket No.</b> <b>112857-042</b>
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Applicant(s): Yasou Iwasaki



Application No. <b>08/826,744</b>	Filing Date <b>April 7, 1997</b>	Examiner <b>C. Onuaka</b>	Customer No. <b>29175</b>	Group Art Unit <b>2616</b>	Confirmation No. <b>2669</b>
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Invention: **AUDIO AND/OR VIDEO DATA RECORDING AND REPRODUCING APPARATUS AND METHOD OF SAME**

**Mail Stop Issue Fee**  
**COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Transmitted herewith are the following for the above-identified application.

- ☒ Issue Fee Transmittal Form PTOL-85
- ☒ Utility Fee: \$ 1370.00    ☐ Design Fee: \_\_\_\_\_    ☐ Plant Fee: \_\_\_\_\_
- ☐ Publication Fee: \_\_\_\_\_
- ☒ A check in the amount of **\$1,373.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
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Dated: **November 2, 2004**

*Signature*

**Thomas C. Basso**  
**Reg. No. 46,541**  
**BELL, BOYD & LLOYD LLC**  
**P.O. Box 1135**  
**Chicago, IL 60690-1135**  
**Phone: 312-807-4310**

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